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# **OPTIMIZING CROP MANAGEMENT AND PRODUCTION WITH ARTIFICIAL** INTELLIGENCE DATA MINING USING 3D CONVOLUTIONAL NEURAL NETWORK FOR PRECISION AGRICULTURE

# R. Thirumurugan<sup>1</sup>, Taufeeq Ahmed<sup>2</sup>, Rajeeb Lochan Moharana<sup>3</sup> and Abhijeet Das<sup>4</sup>

<sup>1</sup>Department of Electrical Engineering, Indian Institute of Technology Hyderabad, India <sup>2</sup>Computer Engineering, College of Engineering and Technology, University of Technology and Applied Sciences-Nizwa, Sultanate of Oman <sup>3</sup>Seed Science and Technology, College of Agriculture, Odisha University of Agriculture and Technology, India <sup>4</sup>Department of Civil Engineering, C.V. Raman Global University, India

#### Abstract

In precision agriculture, optimizing crop management is essential for sustainable and efficient food production. This research leverages artificial intelligence (AI) data mining techniques, specifically employing a 3D CNN, to enhance precision in wheat crop production. The background underscores the need for advanced technologies in agriculture to address the challenges of increasing global demand and environmental sustainability. The method involves the utilization of 3D CNN for simultaneous feature extraction and prediction, providing a holistic approach to crop monitoring. The contribution of this research lies in the integration of AI-driven data mining to streamline crop management processes, resulting in improved resource utilization and increased yield. The application of 3D CNN demonstrated superior performance in accurately predicting wheat crop production. The model effectively extracted intricate spatial and temporal features, contributing to enhanced decision-making capabilities for farmers. The findings highlight the potential of AI-driven precision agriculture in revolutionizing crop management, offering a scalable solution for sustainable food production.

#### Keywords:

Precision Agriculture, Artificial Intelligence, Data Mining, 3D Convolutional Neural Network, Crop Production

# **1. INTRODUCTION**

In modern agriculture, the advanced technologies has become imperative to address the evolving challenges faced by the global farming community. The background emphasizes the increasing demand for food production due to population growth and the necessity for sustainable farming practices [1]. Precision agriculture emerges as a key paradigm, utilizing cutting-edge technologies to optimize crop management and enhance overall efficiency [2].

Despite the potential benefits, agriculture encounters challenges such as resource constraints, environmental concerns, and the need for increased productivity. These challenges underscore the urgency to implement innovative solutions that can effectively balance the demands of food security and ecological sustainability [3].

This research focuses on a critical problem within agriculture - the inefficiencies in crop management. The traditional approaches lack the precision needed for optimal resource utilization and yield enhancement [4]. To overcome this, the research seeks to leverage artificial intelligence (AI) data mining, specifically employing a 3D CNN, to address the complexities associated with crop production.

The primary objectives of this research are to develop a robust AI-driven framework for precision agriculture and to assess the efficacy of 3D CNN in simultaneously extracting meaningful features and predicting wheat crop production. The aim is to provide farmers with a sophisticated tool that enhances decisionmaking processes, optimizing resource allocation and maximizing yield.

The research in precision agriculture, specifically utilizing 3D CNN for comprehensive data analysis. The research contributes to the existing body of knowledge by offering a novel approach that integrates advanced technologies into the agricultural domain. The contributions include improved crop management strategies, increased agricultural productivity, and a sustainable path towards addressing the global food demand.

# 2. RELATED WORKS

Several studies have delved into the integration of AI and data mining techniques in precision agriculture, showcasing a growing interest in leveraging advanced technologies to address the challenges faced by the farming community [5].

A notable precedent in the literature is the work of [6], where they applied machine learning algorithms for crop yield prediction. Their research focused on leveraging historical data to train models for accurate yield estimation. While their approach showed promise, the current research seeks to extend these methodologies by incorporating 3D CNN for improved feature extraction and prediction accuracy.

Additionally, [7] explored the use of AI for crop disease detection. Their method involved the analysis of image data to identify and classify plant diseases, providing valuable insights for disease management. While disease detection is a crucial aspect of precision agriculture, the present research deviates by concentrating on overall crop management and production optimization using 3D CNN.

In AI-driven agricultural monitoring, [8] introduced a system that employed sensor networks and machine learning algorithms for real-time crop monitoring. Their work emphasized the importance of continuous data collection for informed decisionmaking. In contrast, the current research focuses on 3D CNN as a powerful tool capable of simultaneous feature extraction and prediction, thereby reducing the need for extensive sensor networks while enhancing precision.

Furthermore, [9] investigated the use of deep learning models for crop classification based on remote sensing data. Their approach showcased the potential of deep learning in discerning

different crop types, contributing to precision agriculture practices [10]. However, the present research aims to advance these efforts by employing 3D CNN, which is adept at capturing spatiotemporal features, crucial for crop management [11].

However, the current research introduces a novel dimension by integrating 3D CNN, emphasizing its potential to revolutionize crop management through simultaneous feature extraction and prediction, offering a more holistic and efficient approach to precision agriculture challenges.

## **3. PROPOSED METHOD**

The proposed method for wheat crop dataset employs a 3D CNN to perform both feature extraction and prediction, optimizing precision in agriculture. The wheat crop dataset undergoes thorough preprocessing, including data cleaning, normalization, and augmentation. This step ensures the dataset is well-conditioned for effective training and testing of the 3D CNN model.

A 3D CNN architecture as in Fig.1 is designed for the wheat crop dataset. The network comprises multiple convolutional layers with three-dimensional kernels to capture spatiotemporal features inherent in agricultural data. This architecture facilitates simultaneous feature extraction and prediction, a key aspect of optimizing crop management.

The 3D CNN model is trained using the preprocessed wheat crop dataset. During training, the network learns to automatically extract intricate features from the three-dimensional data, capturing both spatial and temporal patterns critical for accurate predictions. The training process is iterative, adjusting model parameters to minimize prediction errors.

The trained 3D CNN excels in feature extraction, discerning complex patterns within the wheat crop dataset. The model leverages its ability to analyze spatiotemporal relationships, providing a nuanced understanding of the underlying factors influencing crop production. This step enhances the precision of the agricultural management system.

The 3D CNN utilizes the learned features to make predictions regarding wheat crop production. The model predictive capabilities are honed during training, enabling it to generate accurate forecasts based on the extracted spatiotemporal patterns. This dual functionality of feature extraction and prediction streamlines decision-making for farmers and agricultural stakeholders.

#### 3.1 DATA PREPROCESSING

In wheat image data, the data preprocessing phase is crucial for ensuring that the input data is well-conditioned and optimal for subsequent analysis. Wheat images are systematically collected from diverse sources, encompassing different growth stages, environmental conditions, and varieties. The collected images undergo a meticulous cleaning process to remove any artifacts, noise, or irrelevant information that may hinder the effectiveness of subsequent analysis. This ensures that the dataset is free from anomalies and accurately reflects the characteristics of healthy wheat crops. Normalization is applied to standardize the pixel values across all images in the dataset. This step is crucial for mitigating variations in lighting conditions and ensuring consistency in the representation of wheat features. Normalized images facilitate the training of the subsequent 3D CNN model. Data augmentation techniques are employed to artificially increase the diversity of the dataset. This involves applying random transformations such as rotations, flips, and zooms to the images. Augmentation enhances the model ability to generalize across different conditions, making it more robust and adaptable to real-world scenarios. To address variations in image resolution, spatial resampling is performed. This step ensures that all images have a consistent spatial dimension, promoting uniformity in the dataset. Spatially resampled images contribute to the stability and efficiency of the subsequent 3D CNN model.

## 3.2 TRAINING - 3DCNN

Training a 3D CNN for feature extraction and classification involves a series of steps that enable the model to learn patterns and relationships within the wheat image data.

The first step involves designing a 3D CNN architecture suitable for the feature extraction and classification tasks. This architecture typically includes multiple three-dimensional convolutional layers, pooling layers, and fully connected layers. The design allows the model to capture spatial and temporal features present in the wheat image data.

The parameters, also known as weights, are initialized to small random values. This step ensures that the network starts learning with a diverse set of parameters, enabling it to adapt to the specific features present in the wheat images during training.

A loss function is chosen based on the nature of the task. For feature extraction and classification, a suitable loss function, such as categorical cross-entropy, is selected. The goal is to minimize this loss during training, guiding the model to make accurate predictions.



Fig.1. 3D CNN Architecture

The preprocessed wheat image dataset is split into training and validation sets. The training set is used to teach the model, while the validation set helps monitor its performance and prevent overfitting. The model is exposed to batches of images along with their corresponding labels during training.

As the model processes the training data, backpropagation is employed to update the weights based on the calculated gradients of the loss function. An optimization algorithm, such as stochastic gradient descent (SGD), is used to adjust the weights iteratively. This process refines the model ability to extract relevant features and make accurate classifications.

The training process occurs over multiple epochs, where each epoch represents one pass through the entire training dataset. Within each epoch, the training data is divided into batches, and the model is updated after processing each batch. This iterative approach allows the model to gradually refine its understanding of the wheat image data.

Layer	Туре	Output Shape	Param
input_layer	InputLayer	(width, height, depth)	0
conv3d_1	Conv3D	(w1, h1, d1, filters)	1792
batch_norm_1	BatchNorm	(w1, h1, d1, filters)	256
activation_1	Activation	(w1, h1, d1, filters)	0
max_pooling3d_1	MaxPoo3D	(w2, h2, d2, filters)	0
conv3d_2	Conv3D	(w3, h3, d3, filters)	221440
batch_norm_2	BatchNorm	(w3, h3, d3, filters)	512
activation_2	Activation	(w3, h3, d3, filters)	0
max_pooling3d_2	MaxPoo3D	(w4, h4, d4, filters)	0
flatten_1	Flatten	(flattened_size)	0
dense_1	Dense	(dense_units)	1000000
activation_3	Activation	(dense_units)	0
dense_output	Dense	(output_units)	0

Table.1. 3D CNN Architecture

The forward pass computes the predicted output  $(y_p)$  of the model given the input (X) and the current set of weights (W) and biases (b).

$$z = W \cdot X + b \tag{1}$$

The output is often passed through an activation function ( $\sigma$ ) to introduce non-linearity:

$$y_p = \sigma(z)$$
 (2)

For classification tasks, categorical cross-entropy is commonly used:

$$L = -\sum_{i} y_{i} \log\left(y_{p(i)}\right) \tag{3}$$

where  $y_i$  is the true label and  $y_{p(i)}$  is the predicted probability for class *i*.

The gradients of the loss with respect to the weights (W) and biases (b) are computed during backpropagation:

$$\frac{\partial L}{\partial W} = X \cdot \frac{\partial L}{\partial z} \tag{4}$$

$$\frac{\partial L}{\partial b} = \frac{\partial L}{\partial z} \tag{5}$$

The chain rule is applied to calculate  $\frac{\partial L}{\partial z}$  w.r.t the activation

function derivative and the subsequent layers' gradients.

Stochastic Gradient Descent (SGD) is a common optimization algorithm. The weights are updated using the computed gradients and a learning rate ( $\eta$ ):

$$W_{new} = W_{old} - \eta \cdot \frac{\partial L}{\partial W} \tag{6}$$

$$b_{new} = b_{old} - \eta \cdot \frac{\partial L}{\partial b} \tag{7}$$

The learning rate controls the size of the steps taken during optimization. The training process involves iterating over the entire dataset for multiple epochs. Within each epoch, the dataset is divided into batches, and the model parameters are updated after processing each batch.

#### Algorithm: Training 3D CNN

**Inputs:** *X*: Input wheat image data; *Y*: True labels for the wheat images; *W*: Weights of the 3D CNN; *b*: Biases of the 3D CNN;  $\eta$ : Learning rate; *E*: Number of epochs; *B*: Batch size; *L*: Loss function (categorical cross-entropy)

Initialize Weights and Biases: W, b=0

Shuffle the training data X and corresponding labels Y.

For b in 1 to  $B_{len}(X)$ :

Select a batch of *B* training examples and their labels:  $X_b$ ,  $Y_b$ Compute  $y_p$  using the current weights and biases.

$$z = W \cdot X_b + b$$
  

$$y_p = \sigma(z) \tag{8}$$

Compute the loss using the predicted output and true labels.

$$=L(Y_b, y_p) \tag{9}$$

Backpropagation: - Compute gradients of the loss with respect to weights  $\frac{\partial L}{\partial W}$  and biases  $\frac{\partial L}{\partial b}$  using the chain rule.

$$\frac{\partial L}{\partial W} = X_b \cdot \frac{\partial L}{\partial z}$$
$$\frac{\partial L}{\partial W} = X \cdot \frac{\partial L}{\partial z}$$
(10)

Update weights and biases using SGD.

$$W = W - \eta \cdot \frac{\partial L}{\partial W}$$
$$b = b - \eta \cdot \frac{\partial L}{\partial b}$$

End Batch

End Epoch

Output: Trained 3D CNN with optimized weights and biases.

#### Algorithm: Feature Extraction and Prediction using 3DCNN

- 1) Load the previously trained 3D CNN model with optimized weights and biases.
- 2) Provide the input wheat image data  $(X_i)$  to the loaded 3D CNN.
- 3) Execute a forward pass through the 3D CNN.

$$z = W \cdot X_i + b$$

- $y_p = \sigma(z)$
- 4) Extract features from the final convolutional layer or intermediate layers of the 3D CNN.
- 5) Utilize the extracted features to make predictions about the wheat crop.
- 6)  $y_p$  is based on the learned relationships between the input features and the target output.

## 4. VALIDATION

In this research, we conducted experiments to evaluate the performance of our proposed 3D CNN for feature extraction and prediction on wheat image datasets. The experiments were implemented using Python as the programming language, leveraging Keras. The simulation tool utilized in our experiments was a custom-built Python script incorporating the defined 3D CNN architecture. The experiments were carried out on a computer with an Intel Core i5 processor, providing a balance between computational efficiency and resource availability.

The performance of our proposed 3D CNN is compared with existing methods, particularly traditional CNN. The CNN models were implemented using similar experimental settings to maintain consistency in evaluation. We employed standard evaluation metrics, including accuracy, precision, and recall, to assess the effectiveness of the proposed 3D CNN in comparison to the traditional CNN approaches.

Parameter	Value	
Learning Rate	0.001	
Optimizer	Adam	
Batch Size	32	
Number of Epochs	20	
Activation Function	ReLU	
Convolutional Layers	2	
Filters per Convolutional Layer	32, 64	
Kernel Size	(3, 3, 3)	
Pooling Size	(2, 2, 2)	
Fully Connected Layers	1	
Dense Units	128	
Output Units (for Prediction)	1 (for binary classification)	

Table.2. Experimental Setup

## 4.1 PERFORMANCE METRICS

• Accuracy: Accuracy measures the proportion of correctly classified instances among the total instances. It is calculated as the ratio of true positives and true negatives to the total number of instances.

- Precision: Precision assesses the accuracy of positive predictions. It is calculated as the ratio of true positives to the sum of true positives and false positives.
- Recall (Sensitivity): Recall, also known as sensitivity or true positive rate, measures the ability of the model to capture all positive instances. It is calculated as the ratio of true positives to the sum of true positives and false negatives.
- F1 Score: The F1 score is the harmonic mean of precision and recall. It provides a balanced measure between precision and recall, particularly useful when dealing with imbalanced datasets.



Fig.2. Accuracy



Fig.3. Average Accuracy

The proposed 3D CNN method consistently shows improvements across multiple metrics compared to existing methods. Across 100 datasets, the average accuracy of the proposed 3D CNN surpassed that of traditional methods by a significant margin, showcasing its superior ability to accurately extract features and make precise predictions. The accuracy improvement ranged from approximately 5% to 10% on average, representing a substantial enhancement in overall model performance. Similarly, the precision of the proposed 3D CNN outperformed existing methods by a notable percentage. Precision, which measures the accuracy of positive predictions, exhibited an improvement ranging from 7% to 12% on average across the datasets. This enhancement underscores the proposed method effectiveness in minimizing false positives and ensuring more reliable positive predictions. The F1-score, representing the harmonic mean of precision and recall, further emphasized the robustness of the proposed 3D CNN. The F1-score improvement ranged from approximately 6% to 11% on average, showcasing the model balanced performance in capturing both positive instances and minimizing false predictions. The results suggest that the 3D CNN ability to capture spatial and temporal patterns in the data contributes significantly to its superior performance over traditional methods. These findings hold promise for advancing precision agriculture practices, enabling more accurate and reliable insights into wheat crop management.



Fig.4. Precision



Fig.5. F-Measure

# 5. CONCLUSION

The research shows the effectiveness of the proposed 3D CNN method for feature extraction and prediction in the domain of wheat image datasets. The experimental results consistently showcase superior performance metrics, including accuracy, precision, and F1-score, when compared to existing methods. The 3D CNN ability to capture intricate spatial and temporal patterns in the wheat images contributes significantly to its heightened

accuracy and precision, translating into more reliable predictions for crop management. The improvements, ranging from 5% to 12% across different metrics, underline the potential of the proposed approach to enhance decision-making processes in precision agriculture. By leveraging advanced techniques in deep learning, the 3D CNN offers a robust solution for extracting meaningful features from complex agricultural imagery, paving the way for more accurate crop predictions and improved yield management.

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