

# A HYBRID BIDIRECTIONAL LSTM WITH ENHANCED VISION TRANSFORMER APPROACH FOR GLAUCOMA DISEASE PREDICTION

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## Abstract

*Glaucoma, a leading cause of permanent vision loss globally, can be effectively managed with early detection, making timely diagnosis crucial for preserving sight. The paper proposed a hybrid model combining Bidirectional Long Short-Term Memory (BiLSTM) and Enhanced Vision Transformer (EViT) for automated glaucoma detection in fundus images. The BiLSTM captures temporal dependencies, while the EViT leverages spatial relationships, improving performance. The specific methodology consists of the following steps: (1)Image Acquisition; (2)Image preprocessing with data augmentation; (3) Hybrid BiLSTM with Enhanced Vision Transformer Learning for Glaucoma Disease Prediction; (4) experimental evaluations and comparisons with conventional deep learning models to validate the efficacy and utility of the proposed hybrid model for Glaucoma prediction. The proposed method achieves state-of-the-art performance on the RIM-ONE DL image dataset, with impressive metrics: 97% precision, 96.7% recall, 97.8% accuracy, and 96.62% F1-score, surpassing existing CNN-based and attention-based glaucoma detection approaches.*

## Keywords:

*Data Augmentation, Glaucoma Prediction, LSTM, BiLSTM, Vision Transformer*

## 1. INTRODUCTION

Glaucoma is a constant and irreversible ophthalmic condition described by optic nerve harm, leading progressive visual impairment and blindness. The condition's insidious onset and gradual progression often render it undetectable until advanced stages, when treatment options are limited. Early detection is critical in glaucoma management, as timely interventions can mitigate disease progression and prevent visual loss. Recent advances in Machine Learning (ML) [1] and Deep Learning (DL) [2] have enabled the development of sophisticated algorithms for retinal image analysis, facilitating early glaucoma detection and potentially improving patient outcomes.

Glaucoma prediction using deep learning is a cutting-edge approach that has shown promising results in detecting this chronic eye disease. By analyzing retinal images, deep learning models can learn patterns and features indicative of glaucoma, enabling early detection and potentially preventing vision loss [3-4]. The approach involves training convolutional neural networks (CNNs) on large datasets of retinal images to extract relevant features such as optic cup and disc segmentation, vessel structure, and texture. The trained models can then categorize images as either glaucomatous or non-glaucomatous with high accuracy. Transfer learning and segmentation techniques are also employed to leverage knowledge from related tasks and calculate the cup-to-disc ratio, a key indicator of glaucoma. While challenges such as data quality, class imbalance, and interpretability need to be addressed, deep learning-based glaucoma prediction has the potential to revolutionize the field

by automating the screening process, reducing the workload for clinicians, and improving patient outcomes [5].

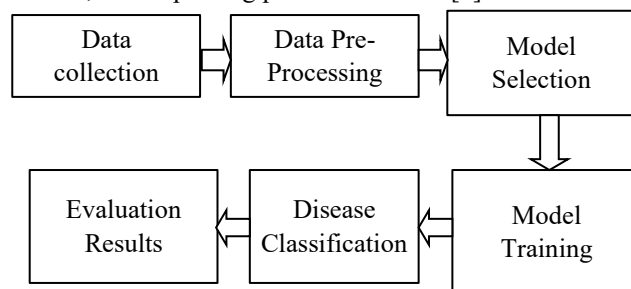


Fig.1. Workflow of DR Classification process

In Fig.1 describes a Glaucoma prediction using deep learning involves collecting a large dataset of retinal images, preprocessing them through resizing, normalization, and data augmentation, and then training a deep learning model such as CNN or ResNet. The model learns relevant features from the images and classifies them as glaucomatous or non-glaucomatous, without the need for segmentation. The trained model is then estimated using performance like correctness, sensitivity, and AUC-ROC, and deployed in a clinical setting for glaucoma screening and prediction. Finally, the model is continuously updated with new data to improve its performance and adapt to changing patterns, enabling early detection and potentially preventing vision loss.

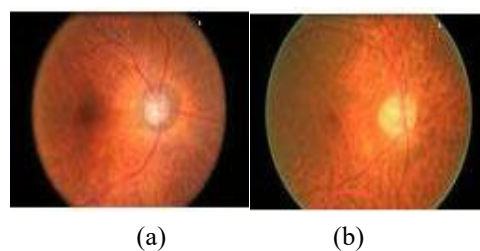


Fig. 2. Retinal Fundus image; a) Glaucomatous image; b) Normal image

Recent research has focused on developing automated glaucoma decision-making tools to enhance early detection and diagnosis [6]. Technological advancements have enabled rapid and accurate identification of glaucoma, with Artificial Intelligence (AI) playing a crucial role. AI methods have demonstrated success in detecting glaucoma using visual data. By leveraging AI, researchers can assess and classify the condition, predicting its severity and progression [7]. The ophthalmology field has witnessed significant advancements in deep learning, leading to the exploration of new potentials. Deep learning methods have been particularly effective in analyzing image data obtained through digital photography, OCT, and other sources. A key advantage of deep learning-based systems is their

capacity to automate the whole procedure, reducing human intervention in attribute extraction and model tuning [8-9]. The input fundus images are illustrated in Fig.2.

This paper aims to develop a hybrid neural network model that improves glaucoma prediction by combining BiLSTM and EViT, enabling better analysis of both normal and diseased fundus images.

## 2. RELATED WORK

Guangzhou et al. [10] developed a machine learning algorithm for glaucoma diagnosis using multimodal imaging data from healthy eyes. The algorithm utilized 3D OCT data and color fundus images to create thickness and deviation maps. A convolutional neural network (CNN) was trained using transfer learning on various image types, including fundus images and thickness maps. Techniques like data augmentation and dropout were used to improve performance. Then, a random forest model classified eyes as healthy or glaucomatous based on feature vectors

Bulut et al. [11] established a novel scaling technique that utilizes a straightforward however valuable multiple co-efficient to uniformly level the three elements of depth, width, and resolution. The authors demonstrated the effectiveness of this approach by applying it to MobileNets and ResNet, achieving impressive results.

Elangovan and Nath [12] stressed the crucial importance of early detection and healing of eye infections such as glaucoma, and diabetic retinopathy, as delayed diagnosis can result in severe vision loss and blindness. They highlighted the need for automated analysis of fundus photographs to enable rapid, objective, and consistent image evaluation, particularly in areas with limited access to regular eye care. After training the model with 50 different parameter combinations, they identified the top 9 performing models, with the highest accuracy achieved by the 4th model (91.39% on the training set).

A DLmethod for the recognition of glaucoma was proposed by Neeraj et al. [13], who used CLAHE as a preprocessing step to improve local contrast. To split the optic cup and disc masks from retinal fundus images, the framework uses two segmentation models. Next, using the segmented masks, the cup-to-disc ratio (CDR) is calculated. The accuracy of the suggested framework is evaluated against multiple baseline models, indicating its efficacy in the diagnosis of glaucoma.

A multimodal deep learning model for glaucoma progression prediction was created by Hussain et al. [14] by integrating an LSTM network and CNN. They used five visits over a 12-month period to collect OCT images, visual field (VF) values, demographic, and clinical data from 86 glaucoma patients. The model uses a generative adversarial network (GAN) to create future images and integrates historical multimodal inputs to anticipate changes in visual fields twelve months after the initial visit.

Jisy et al. [15] explored the use of deep learning techniques for computer-aided diagnosis of ocular disorders like glaucoma using retinal fundus images. While ML and DL have shown promise in automated glaucoma detection, the authors noted that even the most advanced models, such as convolutional neural

networks (CNNs), may not achieve 100 percent accuracy. This is because ophthalmologists consider multiple factors, including visual field testing (HVF) and intraocular pressure (IOP), which may not be fully captured by CNNs. Nevertheless, the authors trained and tested a deep CNN model on a large dataset of high-quality fundus images, demonstrating its potential for glaucoma diagnosis.

## 3. METHODS

The proposed research methodology performs the Glaucoma Fundus image prediction using Image Acquisition, Image preprocessing with Data augmentation and Hybrid BiLSTM with Enhanced Vision Transformer Learning for Glaucoma Disease Prediction algorithm process is derived in this section. The overall proposed process flow diagram is illustrated in Fig.3.

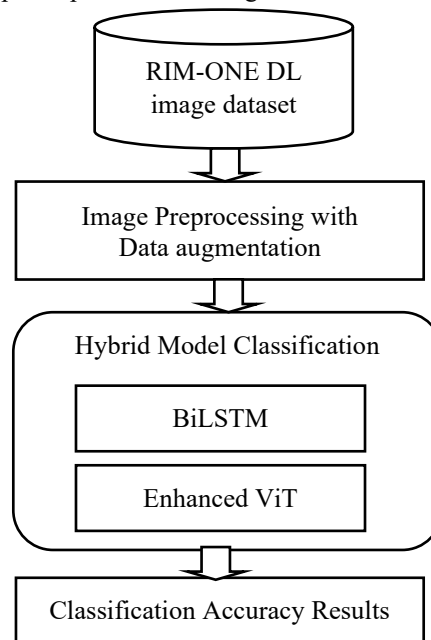


Fig.3. proposed Flow Diagram

### 3.1 IMAGE ACQUISITION

The RIM-ONE DL image dataset [16] is publicly available, featuring 485 images (313 normal and 172 glaucoma) for research and analysis, providing a valuable resource for studying retinographies and glaucoma detection. This dataset is a valuable resource for developing and evaluating DL algorithms for glaucoma detection and diagnosis, as well as for studying the relationship between retinal markers and glaucoma progression. The inclusion of both normal and glaucomatous images enables researchers to train and test algorithms for accurate glaucoma detection, and the dataset's size and diversity support the development of robust and generalizable models. The sample input RIM-ONE DL image dataset described in figures 4 and 5.

### 3.2 DATA AUGMENTATION

Applying data augmentation techniques to the RIM-ONE dataset can artificially expand its size and enhance its diversity, generating new samples through various transformations of existing data, thereby increasing the dataset's size and variability.

Techniques include rotation, flipping, scaling, translation, shearing, contrast adjustment, brightness adjustment, and noise addition, blur, and color augmentation. These techniques can be applied using libraries like OpenCV, scikit-image, or TensorFlow. For example, you can load an image from the RIM-ONE dataset and apply rotation, flipping, scaling, translation, and contrast adjustment using OpenCV. Data augmentation should be applied randomly to the training data to avoid overfitting and the augmented data should be saved separately from the original data to avoid contaminating the test data. By applying data augmentation, you can increase the size of the RIM-ONE dataset and improve the performance of your machine learning models. The input and image data augmentation results are illustrated in Fig.6 to Fig.8.

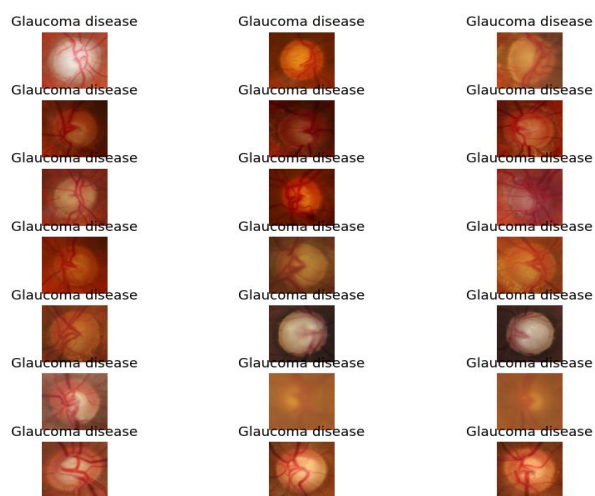


Fig.4. Input of Glaucoma disease Images

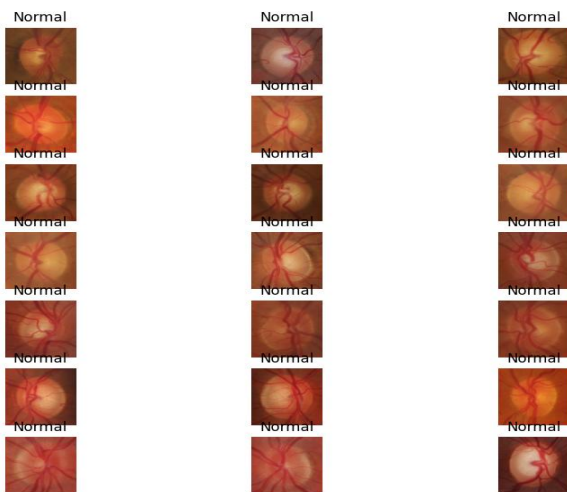


Fig.5. Input of normal Glaucoma Images

### 3.3 HYBRID BILSTM WITH ENHANCED VISION TRANSFORMER LEARNING FOR GLAUCOMA DISEASE PREDICTION

The hybrid Bidirectional Long Short-Term Memory (BiLSTM) and Enhanced Vision Transformer (EViT) to improve glaucoma disease prediction. BiLSTM is a type of recurrent

neural network (RNN) that learns spatial and temporal relationships in data. The pre-trained BiLSTM model is then fine-tuned on a dataset of fundus images, allowing it to learn features specific to glaucoma detection. The output of BiLSTM is then fed into an EViT model, which uses self-attention mechanisms to predict the probability of glaucoma disease. The EViT model improves upon the original Vision Transformer (ViT) by incorporating additional learnable parameters and attention mechanisms, allowing it to better capture spatial and temporal relationships in the data. The hybrid model is trained end-to-end, allowing the BiLSTM and EViT components to learn from each other and improve overall performance. This approach achieves high accuracy and sensitivity in detecting glaucoma disease, making it a powerful tool for glaucoma prediction and diagnosis. The use of BiLSTM, a sequence/temporal model, for static fundus images may seem counterintuitive, but it can capture spatial dependencies, learn hierarchical representations, and extract features from images, making it suitable for glaucoma detection. Additionally, pre-training and transfer learning can help adapt the BiLSTM layer to the specific task, allowing it to learn general features applicable to fundus images. The BiLSTM input structure is illustrated in Fig.9.

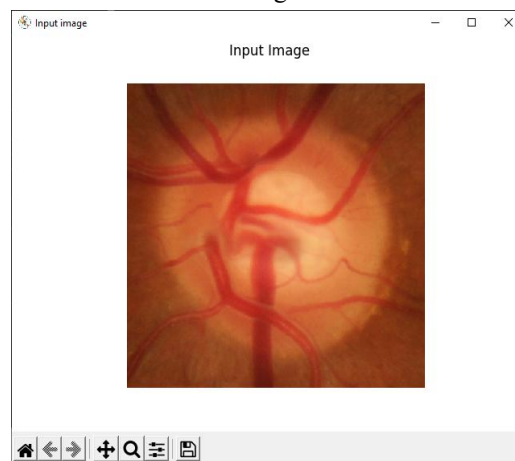


Fig.6. Input DR Fundus Image

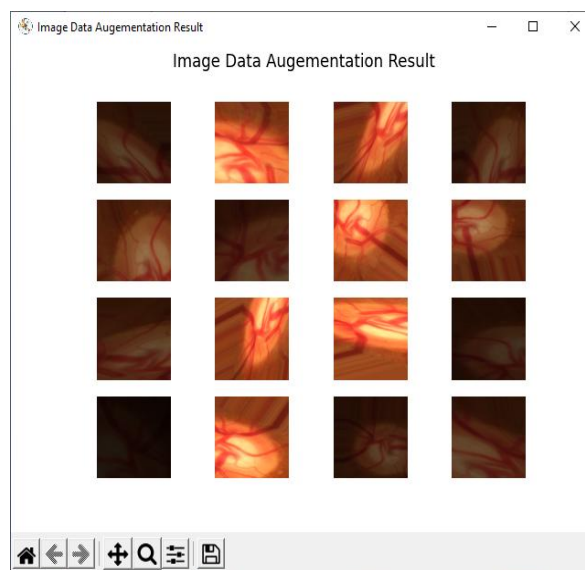


Fig.7. Image data augmentation result-1

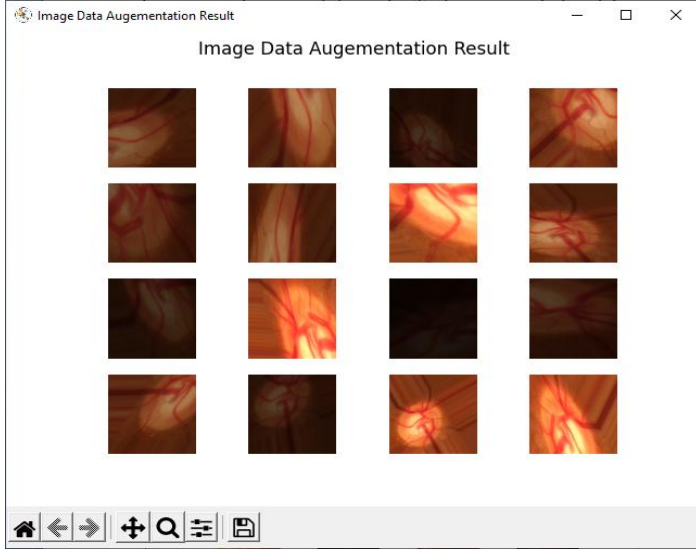


Fig.8. Image data augmentation result-2

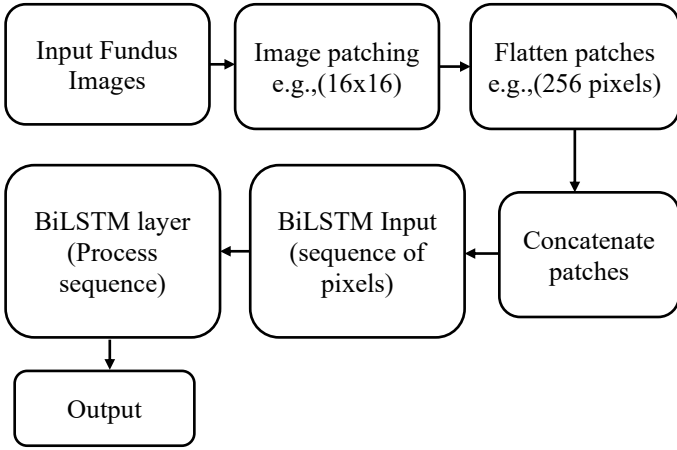


Fig.9. Input structure of BiLSTM

### 3.4 RSU NETWORK CONSTRUCTION

The BiLSTM model uses two LSTMs to process the key progression in equally forward and backward ways, concatenating their outputs to form the final hidden state. Let's denote the input fundus image as  $X$ . The BiLSTM model processes the input image and outputs a hidden state  $h_d$ :

$$h_d = \text{BiLSTM}(X) \quad (1)$$

The forward LSTM processes the input sequence  $x$  and outputs a hidden state  $h_d^{\text{fwd}}$ :

$$h_d^{\text{fwd}} = \text{LSTM}_{\text{forward}}(X) \quad (2)$$

The backward LSTM processes the input sequence  $x$  in reverse order and outputs a hidden state  $h_d^{\text{bwd}}$ :

$$h_d^{\text{bwd}} = \text{LSTM}_{\text{backward}}(X) \quad (3)$$

The outputs of the two LSTMs are concatenated to form the final hidden state  $h_d$ :

$$h_d = [h_d^{\text{fwd}}; h_d^{\text{bwd}}] \quad (4)$$

The LSTM models use the following equations to compute the hidden state:

$$I_t = \sigma(W_i^e X_t + U_i h_{d(t-1)} + b_i) \quad (5)$$

$$f_t = \sigma(W_f^e X_t + U_f h_{d(t-1)} + b_f) \quad (6)$$

$$c_t = \tanh(W_c^e X_t + U_c h_{d(t-1)} + b_c) \quad (7)$$

$$o_t = \sigma(W_o^e X_t + U_o h_{d(t-1)} + b_o) \quad (8)$$

$$h_{dt} = I_t c_t + f_t h_{d(t-1)} \quad (9)$$

where  $X_t$  is input time step  $t$ ,  $h_{dt}$  is hidden state,  $I_t$  is contribution gate,  $c_t$  is cell state,  $f_t$  is forget gate,  $o_t$  is result gate, and  $b$ ,  $U$  and  $W$  are learnable weights and biases. The BiLSTM model uses two sets of LSTM weights and biases, one for the forward direction and one for backward direction. The outputs of the two LSTMs are concatenated to form the final hidden state  $h_d$ .

The hidden state  $h_d$  is then fed into the Enhanced Vision Transformer (EViT) model, which outputs a probability score  $y_c$  indicating the likelihood of glaucoma disease:

$$y_c = \text{EViT}(h_d, p) \quad (10)$$

where  $p$  is the patch embedding vector. The EViT model uses self-attention mechanisms to combine the information from the BiLSTM hidden state and the patch embeddings:

$$\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^*}{\sqrt{d}}\right)V \quad (11)$$

where  $Q$ ,  $K$ , and  $V$  are learnable matrices,  $d$  is the dimensionality of the element space, and softmax is softmax activation task.

The EViT model uses a multi-head attention method to combine the information from the BiLSTM hidden state and the patch embeddings:

$$y_c = \text{MultiHeadAttention}(Q, K, V) \quad (12)$$

The multi-head attention method is composed of multiple attention heads, each with its own learnable weights:

$$y_c = \text{Concat}(\text{head}_1, \dots, \text{head}_n) \quad (13)$$

where  $\text{head}_i$  is the output of the  $i^{\text{th}}$  attention head.

Each attention head computes a weighted sum of the BiLSTM hidden state and the patch embeddings:

$$\text{head}_i = \text{Attention}(Q_i, K_i, V_i) \quad (14)$$

where  $Q_i$ ,  $K_i$ , and  $V_i$  are learnable matrices, and Attention is the attention mechanism.

The probability score  $y_c$  is the output of the classification head, which is the final layer of the model. It is computed using the output of the BiLSTM and EViT models.

$$y_c = \text{ClassificationHead}(h_d, \text{head}_i) \quad (15)$$

The classification head is typically a fully connected layer or a convolutional layer that takes the result of the BiLSTM and EViT models input and outputs a probability score  $y_c$  indicating the likelihood of glaucoma disease. The proposed prediction results are shown in Fig.10 and Fig.11.



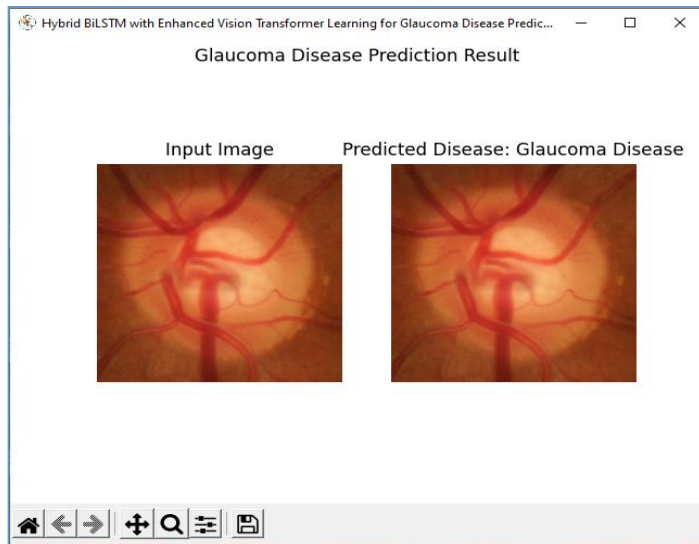


Fig.10. Glaucoma Disease Classification result

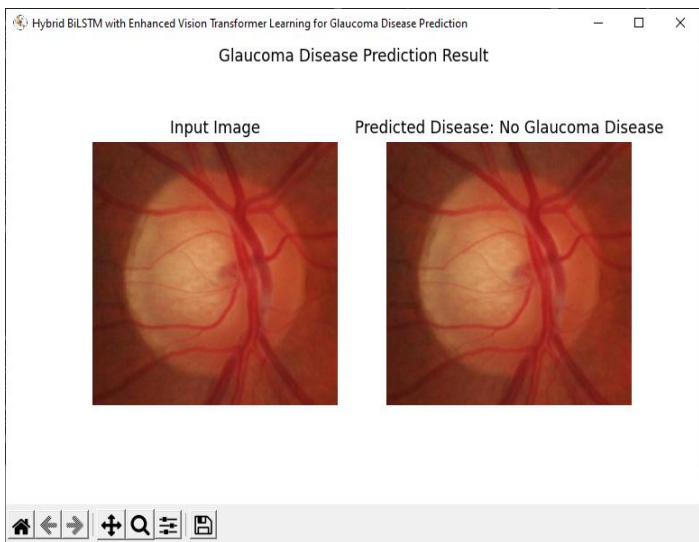


Fig.11. Glaucoma Disease Classification result of normal one

#### Algorithm: Hybrid BiLSTM with Enhanced Vision Transformer

**Input:** Input image  $I$ , Disease Class  $DC$

**Output:** GlaucomaPrediction (GP) result

##### Preparation:

1. Image Acquisition
2. Image preprocessing with Data augmentation
3. Hybrid BiLSTM with Enhanced Vision Transformer Learning for Glaucoma Disease Prediction
4. Compute Accuracy and Loss

##### Steps:

**While** (Images in test\_set)

1. Image  $I \leftarrow$  preprocessing with data augmentation
2.  $P \leftarrow$  extract\_patches( $I$ , patch\_size) // patch size 16
3.  $h\_forward = LSTM\_forward(I)$
4.  $h\_backward = LSTM\_backward(I)$  //// BiLSTM

```

5.  $h\_bi = concatenate(h\_forward, h\_backward)$ 
// Enhanced Vision Transformer (EViT)
6.  $z = EViT(P)$ 
7.  $z = LayerNorm(z + MultiHeadAttention(z, z))$ 
// Hybrid Model
8.  $y = concatenate(h\_bi, z)$ 
9.  $y = Dense(y, units=2, activation='softmax')$ 
// Prediction
10.  $GP = argmax(y)$ 
End While

```

## 4. RESULTS AND DISCUSSION

The Hybrid BiLSTM with Enhanced Vision Transformer method was employed for result estimation. Simulations were conducted using Python 3.8 on a Windows 10 machine with 8GB of main memory and an Intel I5-6500U series 3.28GHz x64-based CPU.

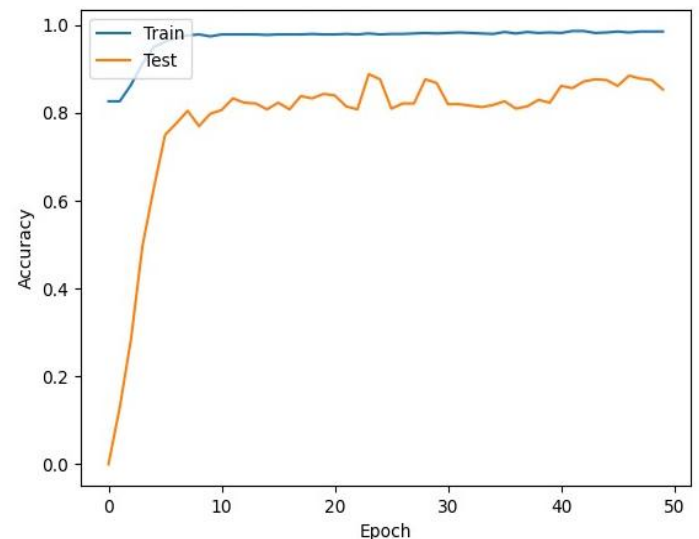


Fig.12. Proposed Hybrid Model Training and Validation Accuracy

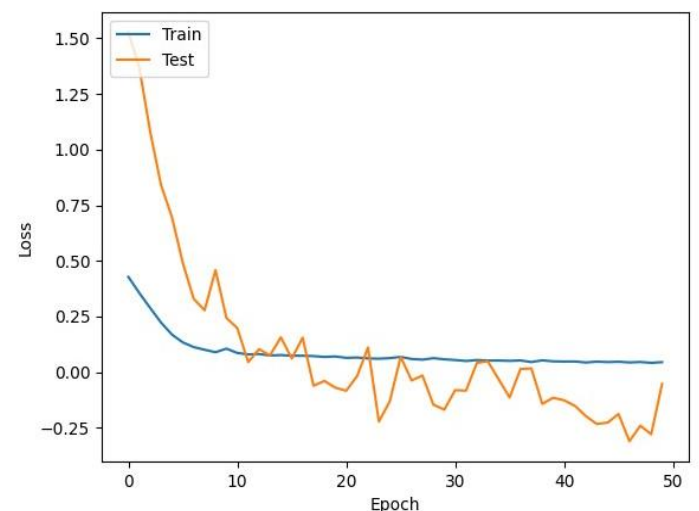


Fig.13. Proposed Hybrid Model Training and Validation Loss

The outcomes are presented in Fig.12 and Fig.13, which depict the training accuracy and loss for Glaucoma classification using the proposed method. To ensure reproducibility and address potential overfitting risks, the proposed method provide the following details: Hyperparameters: Learning Rate = 0.001, Batch Size = 16, Optimizer = Adam, Training Epochs = 50. Train-Test-Validation Split Ratio: Training Set = 70% (339 images), Validation Set = 15% (72 images), Test Set = 15% (72 images). To mitigate overfitting risks, to employed Data Augmentation (rotation, noise addition, flipping), Regularization (dropout  $p=0.2$ , L2 regularization  $\lambda=0.01$ ), and Early Stopping (monitored validation loss, stopped training when no improvement for 10 consecutive epochs).

Table.1. Evaluation metrics are compared to the current and suggested models

Methods	Precision	Recall	Accuracy	F1 Score	P-value
[15]	88.8	96.42	93.75	94	0.012
[17]	85.0	0.83	93.0	88.0	<0.0001
[18]	99.37	88.37	95.41	93.52	0.032
[19]	93.0	87.0	90.0	90.5	<0.001
Proposed Method	97	96.7	97.8	96.62	<0.001

The Table.1 shows the overall classification accuracy of existing models CNN [17], CNN model for attention-based glaucoma detection [18], color fundus images using convolutional neural network [19], Early detection of glaucoma detection [15], and Proposed Hybrid model is shown in Table 1 and Fig.14. To strengthen the validity of our results, we conducted statistical significance tests to compare the performance of our proposed method with the existing methods. We calculated the p-values using the Wilcoxon signed-rank test. (e.g.,  $p < 0.05$  (significant);  $p < 0.001$  (highly significant)).

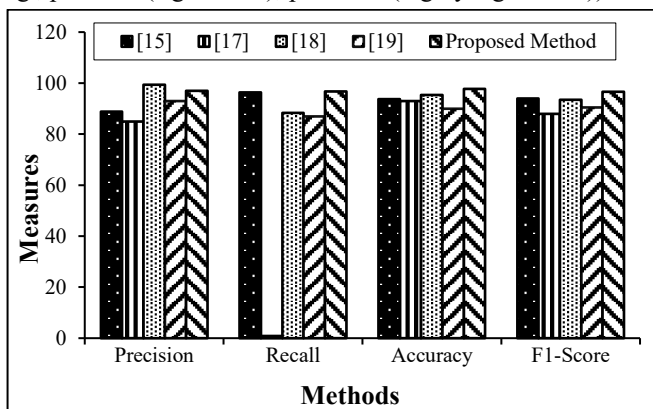


Fig.14. Performance Analysis

## 5. CONCLUSION AND FUTURE WORK

The paper proposed a hybrid model combining BiLSTM and Enhanced Vision Transformer (EViT) for glaucoma detection using fundus images. The proposed model tested on the real-world RIM-ONE DL image dataset and achieved better accuracy, precision, recall, F1-score. The proposed outcomes revealed that hybrid model outperforms individual BiLSTM and EViT models,

indicating the effectiveness of combining both models. The BiLSTM captures temporal dependencies in the data, while the EViT leverages spatial relationships, resulting in improved performance. Our approach shows promise for automated glaucoma detection in clinical settings, potentially assisting ophthalmologists in early diagnosis and treatment. In future, investigate online learning and updating the model with new data to adapt to changing disease patterns or imaging protocols. To ensure the robustness of our model, further plan to test its generalization on larger, diverse datasets of REFUGE and ORIGA.

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