TEXT COMPRESSION ALGORITHMS - A COMPARATIVE STUDY

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Abstract

Data Compression may be defined as the science and art of the representation of information in a crisply condensed form. For decades, Data compression has been one of the critical enabling technologies for the ongoing digital multimedia revolution. There are a lot of data compression algorithms which are available to compress files of different formats. This paper provides a survey of different basic lossless data compression algorithms. Experimental results and comparisons of the lossless compression algorithms using Statistical compression techniques and Dictionary based compression techniques were performed on text data. Among the Statistical coding techniques, the algorithms such as Shannon-Fano Coding, Huffman coding, Adaptive Huffman coding, Run Length Encoding and Arithmetic coding are considered. Lempel-Ziv scheme which is a dictionary based technique is divided into two families: one derived from LZ77 (LZ77, LZSS, LZH, LZR and LZB) and the other derived from LZ78 (LZ78, LZW, LZFG, LZC and LZT). A set of interesting conclusions are derived on this basis.

Keywords: Encoding, Decoding, Lossless Compression, Dictionary Methods

1. INTRODUCTION

Data compression refers to reducing the amount of space needed to store data or reducing the amount of time needed to transmit data. The size of data is reduced by removing the excessive information. The goal of data compression is to represent a source in digital form with as few bits as possible while meeting the minimum requirement of reconstruction of the original.

Data compression can be lossless, only if it is possible to exactly reconstruct the original data from the compressed version. Such a lossless technique is used when the original data of a source are so important that we cannot afford to lose any details. Examples of such source data are medical images, text and images preserved for legal reason, some computer executable files, etc.

Another family of compression algorithms is called lossy as these algorithms irreversibly remove some parts of data and only an approximation of the original data can be reconstructed. Approximate reconstruction may be desirable since it may lead to more effective compression. However, it often requires a good balance between the visual quality and the computation complexity. Data such as multimedia images, video and audio are more easily compressed by lossy compression techniques because of the way human visual and hearing systems work.

Lossy algorithms achieve better compression effectiveness than lossless algorithms, but lossy compression is limited to audio, images, and video, where some loss is acceptable.

To brand either “lossless” or “lossy” the better technique of the two is rather forced and misplaced as each has a distinctive edge over the other in being useful as each has its own uses with lossless techniques better in some cases and lossy technique better in others.

There are quite a few lossless compression techniques nowadays, and most of them are based on dictionary or probability and entropy. In other words, they all try to utilize the occurrence of the same character/string in the data to achieve compression. The performance of statistical compression techniques such as Shannon-Fano Coding, Huffman coding, Adaptive Huffman coding, Run Length Encoding and Arithmetic coding and the Dictionary based compression technique Lempel-Ziv scheme is subdivided into two families: one derived from LZ77 (LZ77, LZSS, LZH, LZR and LZB) and the other from LZ78 (LZ78, LZW, LZFG, LZC and LZT). A set of interesting conclusions are derived on this basis.

The paper is organized as follows: Section I contains a brief Introduction about Compression and its types, Section II presents a brief explanation about Statistical compression techniques, Section III discusses Dictionary-based compression techniques, Section IV has its focus on comparing the performance of Statistical coding techniques and Lempel Ziv techniques and the final section contains the Conclusion.

2. STATISTICAL COMPRESSION TECHNIQUES

2.1 RUN LENGTH ENCODING TECHNIQUE (RLE)

One of the simplest compression techniques known as the Run-Length Encoding (RLE) is created especially for data with strings of repeated symbols (the length of the string is called a run). The main idea behind this is to encode repeated symbols as a pair: the length of the string and the symbol. For example, the string ‘abbaaaaaabbbbaa’ of length 16 bytes (characters) is represented as 7 integers plus 7 characters, which can be easily encoded on 14 bytes (as for example ‘1a2b5a1b2a3b2a’). The biggest problem with RLE is that in the worst case the size of output data can be two times more than the size of input data. To eliminate this problem, each pair (the lengths and the strings separately) can be later encoded with an algorithm like Huffman coding.

2.2 SHANNON FANO CODING

Shannon–Fano algorithm was simultaneously developed by Claude Shannon (Bell labs) and R.M. Fano (MIT)[3,16]. It is used to encode messages depending upon their probabilities. It
The algorithm presented above is called as a semi-adaptive or semi-static Huffman coding as it requires knowledge of frequencies for each symbol from alphabet. Along with the compressed output, the Huffman tree with the Huffman codes for symbols or just the frequencies of symbols which are used to create the Huffman tree must be stored. This information is needed during the decoding process and it is placed in the header of the compressed file.

2.4 ADAPTIVE HUFFMAN CODING

The probability distribution of the input set is required to generate Huffman codes. The basic Huffman algorithm is handicapped by the drawback that such a probability distribution of the input set is often not available. Moreover it is not suitable to cases when probabilities of the input symbols are changing. The Adaptive Huffman coding technique was developed based on Huffman coding first by Newton Faller [2] and by Robert G. Gallager[5] and then improved by Donald Knuth [8] and Jeffery S. Vitter [20,21]. In this method, a different approach called sibling property is introduced to build a Huffman tree. Dynamically changing Huffman code trees, whose leaves are representative of the characters seen so far, are maintained by both the sender and receiver. Initially the tree contains only the 0-node, a special node representing messages that have yet to be seen. Here, the Huffman tree includes a counter for each symbol and the counter is updated every time when a corresponding input symbol is coded. Huffman tree under construction is still a Huffman tree if it is ensured by checking whether the sibling property is retained. If the sibling property is violated, the tree has to be restructured to ensure this property. Usually this algorithm generates codes that are more effective than static Huffman coding. Storing Huffman tree along with the Huffman codes for symbols with the Huffman tree is not needed here. It is superior to Static Huffman coding in two aspects: It requires only one pass through the input and it adds little or no overhead to the output. But this algorithm has to rebuild the entire Huffman tree after encoding each symbol which becomes slower than the static Huffman coding.

2.5 ARITHMETIC CODING

Huffman and Shannon-Fano coding techniques suffer from the fact that an integral value of bits is needed to code a character. Arithmetic coding completely bypasses the idea of replacing every input symbol with a codeword. Instead it replaces a stream of input symbols with a single floating point number as output. The basic concept of arithmetic coding was developed by Elias in the early 1960’s and further developed largely by Pasco [11], Rissanen [13, 14] and Langdon [9].

The primary objective of Arithmetic coding is to assign an interval to each potential symbol. Later this interval is assigned a decimal number. The algorithm commences with an interval of 0.0 and 1.0. The interval is subdivided into a smaller interval, based on the proportion to the input symbol’s probability, after each input symbol from the alphabet is read. This subinterval then becomes the new interval and is divided into parts according to probability of symbols from the input alphabet. This is repeated for each and every input symbol. And, at the end, any floating point number from the final interval uniquely determines the input data.
3. DICTIONARY BASED COMPRESSION TECHNIQUES

Arithmetic algorithms as well as Huffman algorithms are based on a statistical model, namely an alphabet and the probability distribution of a source. Dictionary coding techniques rely upon the observation that there are correlations between parts of data (recurring patterns). The basic idea is to replace those repetitions by (shorter) references to a "dictionary" containing the original.

3.1 LEMPEL ZIV ALGORITHMS

The Lempel Ziv Algorithm is an algorithm for lossless data compression. This algorithm is an offshoot of the two algorithms proposed by Jacob Ziv and Abraham Lempel in their landmark papers in 1977 and 1978. Fig.1 represents diagrammatically the family of Lempel Ziv algorithms.

![Fig.1. The family of Lempel Ziv algorithms](image)

3.1.1 LZ77:

Jacob Ziv and Abraham Lempel have presented their dictionary-based scheme in 1977 for lossless data compression [23]. Today this technique is much remembered by the name of the authors and the year of implementation of the same.

LZ77 exploits the fact that words and phrases within a text file are likely to be repeated. When there is repetition, they can be encoded as a pointer to an earlier occurrence, with the pointer accompanied by the number of characters to be matched. It is a very simple adaptive scheme that requires no prior knowledge of the source and seems to require no assumptions about the characteristics of the source.

In the LZ77 approach, the dictionary functions merely as a portion of the previously encoded sequence. The examination of the input sequence is carried out by the encoder, pressing into service a sliding window which consists of two parts: a search buffer that contains a portion of the recently encoded sequence and a look-ahead buffer that contains the next portion of the sequence to be encoded. The algorithm searches the sliding window for the longest match with the beginning of the look-ahead buffer and outputs a reference (a pointer) to that match. It is possible that there is no match at all, so the output cannot contain just pointers. In LZ77 the representation of the reference is always in the form of a triple <o,l,c>, where ‘o’ stands for an offset to a match and the match length equal to 0) and the first symbol in the look-ahead buffer [7].

The values of an offset to a match and length must be limited to some maximum constants. Moreover the compression performance of LZ77 mainly depends on these values. Usually the offset is encoded on 12–16 bits, so it is limited from 0 to 65535 symbols. So, there is no need to remember more than 65535 last seen symbols in the sliding window. The match length is usually encoded on 8 bits, which gives maximum match length equal to 255[12].

The LZ77 algorithm is given below:

```c
While (lookAheadBuffer not empty) {
    if (length > 0)
    { output (position, length, next symbol);
        shift the window length+1 positions along;
    }
    else {
        output (0, 0, first symbol in the lookahead buffer);
        shift the window 1 character along;
    }
}
```

With regard to other algorithms the time for compression and decompression is just the same. In LZ77 encoding process one reference (a triple) is transmitted for several input symbols and hence it is very fast. The decoding is much faster than the encoding in this process and it is one of the important features of this process. In LZ77, most of the LZ77 compression time is, however, used in searching for the longest match, whereas the LZ77 algorithm decompression is quick as each reference is simply replaced with the string, which it points to.

LZ77 scheme can be made to function more efficiently through several ways. Efficient encoding with the triples forms the basis for many of the improvements. There are several variations on LZ77 scheme, the best known are LZSS, LZH and LZB.

LZSS which was published by Storer and Szymanksi [17] removes the requirement of mandatory inclusion of the next non-matching symbol into each codeword. Their algorithm uses fixed length codewords consisting of offset and length to denote references. They propose to include an extra bit (a bit flag) at each coding step to indicate whether the output code represents a pair (a pointer and a match length) or a single symbol.

LZH is the scheme that combines the Ziv – Lempel and Huffman techniques. Here coding is performed in two passes. The first is essentially same as LZSS, while the second uses statistics measured in the first to code pointers and explicit characters using Huffman coding.

LZB was published by Mohammad Banikazemi[10] uses an elaborate scheme for encoding the references and lengths with varying sizes. The size of every LZSS pointer remains the same despite the length of the phrase it represents. Different sized pointers prove to be efficacious in practice as they help achieve a better compression since some phrase lengths are prone to occur more frequently than others. LZB is a technique that uses a different coding for both components of the pointer. LZB achieves a better compression than LZSS and has the added virtue of being less sensitive to the choice of parameters.

LZR, developed by Michael Rodeh et al. [15] in the year 1991, is a modification of LZ77. It is projected to be linear time
alternative to LZ77. It is markedly different from the already existing algorithm in its capacity to allow pointers to denote any position in the encoded part of the text. However, it should be mentioned that LZR consumes considerably larger amount of memory than the others do. Here, the dictionary grows without any limit. The two major drawbacks of this algorithm are

a) More and more memory is required as encoding proceeds; no more of the input is remembered if the memory is full or the memory should be cleared for resumption of the coding process.

b) It also suffers from a drawback of the increase in the size of the text in which the matches are sought. As it is a unfeasible variant its performance is found to be not satisfactory.

3.1.2 LZ78:

In 1978 Jacob Ziv and Abraham Lempel presented their dictionary based scheme [24], which is known as LZ78. It is a dictionary based compression algorithm that maintains an explicit dictionary. This dictionary has to be built both at the encoding and decoding side and they must follow the same rules to ensure that they use an identical dictionary. The codewords output by the algorithm consists of two elements <i,c> where ‘i’ is an index referring to the longest matching dictionary entry and the first non-matching symbol. In addition to outputting the codeword for storage / transmission the algorithm also adds the index and symbol pair to the dictionary. When a symbol that is not yet found in the dictionary, the codeword has the index value 0 and it is added to the dictionary as well. The algorithm gradually builds up a dictionary with this method. The algorithm for LZ78 is given below:

\[
\begin{align*}
w & := \text{NIL}; \\
\text{while ( there is input )} & \\
\text{K} & := \text{next symbol from input}; \\
\text{if (wK exists in the dictionary)} & \\
\text{w} & := \text{wK}; \\
\text{else} & \\
\text{output (index(w)), K}; \\
\text{add wK to the dictionary}; \\
\text{w} & := \text{NIL};
\end{align*}
\]

LZ78 algorithm has the ability to capture patterns and hold them indefinitely but it also has a serious drawback. The dictionary keeps growing forever without bound. There are various methods to limit dictionary size, the easiest being to stop adding entries and continue like a static dictionary coder or to throw the dictionary away and start from scratch after a certain number of entries has been reached. The encoding done by LZ78 is fast, compared to LZ77, and that is the main advantage of dictionary based compression. The important property of LZ77 that the LZ78 algorithm preserves is the decoding is faster than the encoding. The decompression in LZ78 is faster compared to the process of compression.

Terry Welch has presented his LZW (Lempel–Ziv–Welch) algorithm in 1984[22], which is based on LZ78. It basically applies the LZSS principle of not explicitly transmitting the next non-matching symbol to LZ78 algorithm. The dictionary has to be initialized with all possible symbols from the input alphabet. It guarantees that a match will always be found. LZW would only send the index to the dictionary. The input to the encoder is accumulated in a pattern ‘w’ as long as ‘w’ is contained in the dictionary. If the addition of another letter ‘K’ results in a pattern ‘w*K’ that is not in the dictionary, then the index of ‘w’ is transmitted to the receiver, the pattern ‘w*K’ is added to the dictionary and another pattern is started with the letter ‘K’. The algorithm then proceeds as follows:

\[
\begin{align*}
w & := \text{NIL}; \\
\text{while ( there is input )} & \\
\text{K} & := \text{next symbol from input}; \\
\text{if (wK exists in the dictionary)} & \\
\text{w} & := \text{wK}; \\
\text{else} & \\
\text{output (index(w));} \\
\text{add wK to the dictionary;} \\
\text{w} & := \text{K};
\end{align*}
\]

12 bits are set as the size of the pointer, making provision for up to 4096 dictionary entries. The dictionary becomes static as soon as the optimum limit of 4096 is reached.

What distinguishes LZFG which was developed by Fiala and Greene [4], is the fact that encoding and decoding is fast and good compression is achieved without undue storage requirements. This algorithm uses the original dictionary building technique as LZ78 does but the only difference is that it stores the elements in a trie data structure. Here, the encoded characters are placed in a window (as in LZ77) to remove the oldest phrases from the dictionary.

Lempel Ziv Compress (LZC) developed by Thomas et al.[18] in 1985, which finds its application in UNIX Compress utility, is a slight modification of LZW. It has as its origin the implementation of LZW and subsequently stands modified as LZC with the specific objective of achieving faster and better compression. It has earned the distinction of being a high performance scheme as it is found to be one of the most practically and readily available schemes. A striking difference between LZW and LZC is that the latter, LZC, monitors the compression ratio of the output whereas the former, LZW, does not. It’s value lies in its utility to rebuild the dictionary from the scratch, clearing it completely if it crosses a threshold value.

Lempel Ziv Tischer (LZT) developed by Tischer [19] in 1987, is a modification of LZC. The main difference between LST and LZC is that it creates space for new entries by discarding least recently used phrases (LRU replacement) if the dictionary is full.

4. EXPERIMENTAL RESULTS

In this section we focus our attention to compare the performance of various Statistical compression techniques (Run Length Encoding, Shannon-Fano coding, Huffman coding, Adaptive Huffman coding and Arithmetic coding), LZ77 family algorithms (LZ77, LZSS, LZH, LZB and LZR) and LZ78 family algorithms (LZ78, LZW, LZFG, LZC and LZT). Research works done to evaluate the efficiency of any compression
algorithm are carried out having two important parameters. One is the amount of compression achieved and the other is the time used by the encoding and decoding algorithms. We have tested several times the practical performance of the above mentioned techniques on files of Canterbury corpus and have found out the results of various Statistical coding techniques and Lempel-Ziv techniques selected for this study. Also, the comparative functioning and the compression ratio are presented in the tables given below.

4.1 PRACTICAL COMPARISON OF STATISTICAL COMPRESSION TECHNIQUES

Table 1 shows the comparative analysis between various Statistical compression techniques discussed above.

As per the results shown in Table 1, for Run Length Encoding, for most of the files tested, this algorithm generates compressed files larger than the original files. This is due to the fewer amount of runs in the source file. For the other files, the compression rate is less. The average BPC obtained by this algorithm is 7.93. So, it is inferred that this algorithm can reduce on an average of about 4% of the original file. This cannot be considered as a significant improvement.

BPC and amount of compression achieved for Shannon-Fano algorithm is presented in Table 1. The compression ratio for Shannon-Fano algorithm is in the range of 0.60 to 0.82 and the average BPC is 5.50.

Compression ratio for Huffman coding algorithm falls in the range of 0.57 to 0.81. The compression ratio obtained by this algorithm is better compared to Shannon-Fano algorithm and the average Bits per character is 5.27.

The amount of compression achieved by applying Adaptive Huffman coding is shown in Table 1. The adaptive version of Huffman coding builds a statistical model of the text being compressed as the file is read. From Table 1, it can be seen that, it differs a little from the Shannon-Fano coding algorithm and Static Huffman coding algorithm in the compression ratio achieved and the range is between 0.57 and 0.79. On an average the number of bits needed to code a character is 5.21. Previous attempts in this line of research make it clear that compression and decompression times are relatively high for this algorithm because the dynamic tree used in this algorithm has to be modified for each and every character in the source file.

Arithmetic coding has been shown to compress files down to the theoretical limits as described by Information theory. Indeed, this algorithm proved to be one of the best performers among these methods based on compression ratio. It is clear that the amount of compression achieved by Arithmetic coding lies within the range of 0.57 to 0.76 and the average bits per character is 5.15.

The overall performance in terms of average BPC of the above referred Statistical coding methods are shown in Fig.2 comparative functioning and the compression ratio are presented in the tables given below.

The overall behaviour of Shannon-Fano coding, Static Huffman coding and Adaptive Huffman coding is very similar with Arithmetic coding achieving the best average compression. The reason for this is the ability of this algorithm to keep the coding and the modeler separate. Unlike Huffman coding, no code tree needs to be transmitted to the receiver. Here, encoding is done to a group of symbols, not symbol by symbol, which leads to higher compression ratios. One more reason is its use of fractional values which leads to no code waste.

<table>
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<th>Huffman coding</th>
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<td>5.21</td>
<td>5.15</td>
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4.2 PRACTICAL COMPARISON OF LEMPEL ZIV ALGORITHMS

This section deals with comparing the performance of Lempel-Ziv algorithms. LZ algorithms considered here are divided into two categories: those derived from LZ77 and those derived from LZ78. Table 2 shows the comparison of various algorithms that are derived from LZ77 (LZ77, LZSS, LZH, LZR and LZR). Table 3 shows the comparative analysis of algorithms that are derived from LZ78 (LZ78, LZW, LZFG, LZC and LZR). The BPC values that are referred from [1] are based on the following parameters. The main parameter for LZ77 family is the size of the window on the text. Compression is best if the window is as big as possible but not bigger than the text, in general. Nevertheless, larger windows yield diminishing returns. A window as small as 8000 characters will perform much better, and give a result nearly as good as the ones derived from the larger windows. Another parameter which limits the number of characters is needed for some algorithms belonging to LZ family. Generally a limit of around 16 may work well. For LZ77, LZSS and LZR the storage (characters in window) were assumed to be of 8 KB, for LZH it was assumed as 16 KB and for LZR it was unbounded.

Regarding LZ78 family, most of the algorithm requires one parameter to denote the maximum number of phrases stored. For the above mentioned LZ78 schemes, except LZ78 a limit of 4096 phrases was used.

Table 2. Comparison of BPC for the different LZ77 variants

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The output of Table 2 reveals that the Bits Per Character is significant and most of the files have been compressed to a little less than half of the original size. Of LZ77 family, the performance of LZB is significant compared to LZ77, LZSS, LZH and LZR. The average BPC which is significant as shown in Table 2, which are 3.11.

Amongst the performance of the LZ77 family, LZB outperforms LZH. This is because, LZH generates an optimal Huffman code for pointers whereas LZB uses a fixed code.

Table 3. Comparison of BPC for the different LZ78 variants

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Fig.2. Chart showing Compression rates for various Statistical Compression techniques

Fig.3. Chart showing Compression rates for the LZ77 family

Table 3. Comparison of BPC for the different LZ78 variants

The output of Table 2 reveals that the Bits Per Character is significant and most of the files have been compressed to a little less than half of the original size. Of LZ77 family, the performance of LZB is significant compared to LZ77, LZSS, LZH and LZR. The average BPC which is significant as shown in Table 2, which are 3.11.

Amongst the performance of the LZ77 family, LZB outperforms LZH. This is because, LZH generates an optimal Huffman code for pointers whereas LZB uses a fixed code.

Fig.3 shows a comparison of the compression rates for the different LZ77 variants.
Fig. 4. Chart showing compression rates for the LZ78 family.

We have tried to infer from Table 3 the compression performance of LZ78 family. Most of the ASCII files are compressed to just less than half of the original size and within each file the amount of compression is consistent. The LZW method, having no boundary, accepts phrases and so the compression expands the file “obj2” by 25%, which is considered as a weakness of this approach. Also from Table 3 it is obvious that the performance of LZFG is the best amongst these methods, giving an average BPC of 2.89 which is really significant. Amongst LZ78 family, LZFG’s performance is the best because the scheme that it uses is carefully selected codes to represent pointers which are like the best scheme in the LZ77 family. Fig. 4 represents a comparison of the compression rates for the LZ78 family.

5. CONCLUSION

We have taken up Statistical compression techniques and Lempel-Ziv algorithms for our study to examine the performance in compression. In the Statistical compression techniques, Arithmetic coding technique outperforms the rest with an improvement of 1.15% over Adaptive Huffman coding, 2.28% over Huffman coding, 6.36% over Shannon-Fano coding and 35.06% over Run Length Encoding technique. LZF outperforms LZ77, LZSS, LZH and LZR to show a marked compression, which is 23.77% improvement over LZR, 19.85% improvement over LZ77, 6.33% improvement over LZSS and 3.42% improvement over LZH, amongst the LZ77 family. LZFG shows a significant result in the average BPC compared to LZ78, LZW, LZC and LZT. From the result it is evident that LZFG has outperformed the others with an improvement of 41.02% over LZW, 33.87% over LZC, 32.16% over LZ78 and 24.15% over LZT.

REFERENCES


